



UNITED STATES PATENT AND TRADEMARK OFFICE

MAY 3 1 2002

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/066,801	02/06/2002	Michael Przewosky	148/50871

CONFIRMATION NO. 4473

23911
CROWELL & MORING LLP
INTELLECTUAL PROPERTY GROUP
P.O. BOX 14300
WASHINGTON, DC 20044-4300

FORMALITIES LETTER



OC000000007764682

Date Mailed: 04/02/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/03/2002 TBESHAKH1 00000042 10066801

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:103	162.00 OP
03 FC:102	168.00 OP
04 FC:105	130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$414.
 - \$162 for 9 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1284.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

*A copy of this notice **MUST** be returned with the reply.*

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J Robinson

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAY 31 2002
PATENT & TRADEMARK OFFICE
U.S. DEPARTMENT OF COMMERCE

10066801 . US5511C
#3
NPA

Attorney Docket: 148/50871

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MICHAEL PRZEWOSNY ET AL

Serial No.: 10/066,801

Filed: FEBRUARY 6, 2002

Title: SUBSTITUTED PYRROLIDIN-2,3,4-TRION-3-OXIME DERIVATIVES
WHICH ARE ACTIVE AS NMDA-RECEPTOR ANTAGONISTS

SUBMISSION OF MISSING PARTS IN APPLICATION

Box Missing Parts

Commissioner for Patents
Washington, D.C. 20231

Sir:

Attached hereto please find:

1. The Notice to File Missing Parts of Application.
2. The executed Declaration.
3. Assignment for recordation, including a check in the amount of \$40.00.
4. Abstract of the Disclosure.
5. A check in the amount of \$1200.00 in payment of the basic filing fee of \$740.00, the \$330.00 additional claim fee, and the surcharge of \$130.00 for late submission of the Declaration.

(Please note that this application contains a total of five independent claims (Claims 1, 12, 13, 14, and 15) and 29 total claims, and therefore the additional claim fee is \$330.00 rather than \$414.00 as indicated on the Notice to File Missing Parts.)

Please credit any overpayments or charge any additional fees to the Deposit Account of Crowell & Moring LLP, Account Number 05-1323 (Docket #148/50871). A duplicate copy of this letter is attached.

Respectfully submitted,

May 31, 2002

J. D. Evans
Registration No. 26,269

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